



MILLE LACS BAND OF OJIBWE INDIANS

Executive Branch of Tribal Government

Corporate Commission

**CORPORATE ORDER #0002.2
OF
THE CORPORATE COMMISSION OF THE
MILLE LACS BAND OF OJIBWE INDIANS**


The undersigned, the Commissioner of Corporate Affairs of the Corporate Commission of the Mille Lacs Band of Ojibwe Indians, a corporation and a political subdivision of the Mille Lacs Band of Ojibwe Indians (the "Commission"), hereby adopts the following Corporate Order effective this 3rd Day of February, 2000.

WHEREAS, The Commission has the authority under 16 MLBSA § 106(e) to regulate gaming;

WHEREAS, The Commissioner of Corporate Affairs is empowered pursuant to 16 MLBSA § 111 (b) (1) to issue such regulations or take such directives as may be necessary to serve the best interest of the Commission via a Corporate Order;

NOW THEREFORE, the Commissioner of Corporate Affairs hereby adopts the Gaming Regulations, which are attached hereto as Exhibit A to this Order and made part hereof by reference thereto.

IT IS SO ORDERED,



Kenneth W. Mimmack
Commissioner of Corporate Affairs

**EXHIBIT A
CORPORATE ORDER 0002.2**

MILLE LACS BAND OF OJIBWE INDIANS

GAMING REGULATIONS

Table of Contents

Section 1 Definitions	1
Section 2 Background Investigations and Licensure of Gaming Employees	4
2.1 Purpose.....	4
2.2 Policy	4
2.3 Procedure.....	5
Section 3 Background Investigation and Licensure of Gaming Suppliers	7
3.1 Authority.....	7
3.2 Licensure of Gaming Suppliers	7
3.3 Application Fees	8
3.4 Supplier License Fees	8
3.5 Gaming Supplier License Application	9
3.6 License Procedures	10
3.7 Gaming Supplier's License Requirements	14
3.8 Reapplication for a denied license	15
3.9 Annual Renewal.....	15
3.10 Duty to Maintain Suitability; Duty to Disclose; Transfer of a Gaming Supplier's License	15
3.12 Restriction, Revocation or Other Actions Regarding a Gaming Supplier's License.....	16
3.13 Request for a Hearing on Notice of Denial or Non-renewal of a Gaming Supplier's License	16
3.14 Waiver of Requirements.....	16
Section 4. Dispute Resolution Procedures	16
4.1 Initiating the Dispute Resolution Process.....	16
4.2. Claims; Investigation of Disputes; Decision	18
4.3. Appeal to the Band's Court of Central Jurisdiction.....	19
4.4 Use of an Independent Hearing Examiner	21
Section 5 Official Agent	25
Section 6 Processing of Fingerprints and Dissemination of Criminal History.....	26

6.1 Procedures for Processing Fingerprint Cards.....	26
Section 7 Control of Class III Blackjack.....	26
7.1 Assessment to Assist State Administration.....	26
7.2 No Credit Extended	26
7.3 Minimum Age for Players	26
7.4 Inspection.....	26
Section 8 Control of Class III Video Games of Chance.....	35
8.1 Testing and Approval of Video Games of Chance.....	35
8.2 Application for Approval of Prototype Video Game of Chance.....	36
8.3 Testing of Video Game of Chance	36
8.4 Report of Test Results	36
8.5 Modifications of Approved Video Games of Chance.....	36
8.6 Conformity to Technical Standards.....	37
8.7 Existing Video Games of Chance.....	37
8.8 Information to be Provided.....	37
8.9 Hardware Requirements for Video Games of Chance.....	38
8.10 Software Requirements for Video Games of Chance	40
8.11 Accounting and Audit Procedures.	42
8.12 Amendments to Hardware and Software Requirements for Video Games of Chance.....	42
8.13 Band's GA Licensing	43
Section 9 Bingo and Pull Tabs	43
9.1 Bingo	43
9.2 Pull Tabs	47
Section 10 Bank Secrecy Act Compliance	49
10.1 Currency Transaction Reports and Multiple Transaction Logs.....	49
10.2 Cash Transactions.....	49
10.3 Multiple Transaction Control Log	50
10.4 Reportable Transactions	51
10.5 Surveillance	52
10.6 Currency Transaction Report	53
10.7 Suspicious Activities Reporting	55
10.8 Title 31 Training	56
10.9 Compliance Programs	56
Section 11 Accounting Regulations	58
11.1 Accounting Records.....	58
11.2 Financial Statements and the Annual Audit	59
Section 12 Internal Control Procedures	62
12.1 General Provisions.....	62
12.2 Internal Control Procedure Description; Submission to the GA	62
12.3 Table Games	64
12.4 Video Games of Chance.....	73

12.5 Sensitive Key Control	91
Section 13 Security and Surveillance	94
Section 14 I.R.S. Reporting and Withholding Requirements	98
14.1 Policy	98
14.2 Required forms and circumstances	98
Section 15 Main Bank & Cage Controls	100
15.1 General Provisions	100
15.2 Transactions	100
15.3 Opening and Closing of the Cage	101
15.4 Operational Transactions.....	102
15.5 Main Bank and Cage Accounting Standards	103
15.6 Miscellaneous.....	104
Section 16 Internal Control Standards for Internal Audit	104
Section 17 Minimum Internal Control Standards for Electronic Data Processing	106
Section 18 Minimum Internal Control Standards for Complimentary Services or Items..	110
Section 19 Compulsive Gambling.....	111
19.1 Policy	111
19.2 Program Content and Responsibility.....	111
19.3 Counseling Resources; Referrals.....	111
19.4 Associate Training	111
19.5 Patron Information and Education	111
19.6 Exclusion.....	112
Section 20 Community Charitable Gaming	112
20.1 Policy	112
20.2 Allowable Games.....	112
20.3 Application Process.....	112
20.4 Procedure.....	112
20.5 Decision; Conduct of Charitable Gaming.....	113
20.6 Accounting	113